



Attorney's Docket No.: 80398.P158

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Proehl, et al.

Application No.: 09/218,119

Filed: December 21, 1998

For: Method and Apparatus for Notification on a  
Broadcast Device

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date  
Granted) mailed January 20, 1999, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to  
the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of  
37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail with  
sufficient postage in an envelope addressed to the  
Assistant Commissioner for Patents, Washington, D.C. 20231

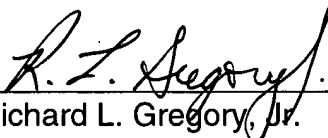
On 3/19/99  
Cher, Chinkenbeard  
Date of Deposit  
Chinkenbeard  
Name of Person Mailing Correspondence  
Chinkenbeard  
Signature Date

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3-19-99

  
\_\_\_\_\_  
Richard L. Gregory, Jr.  
Reg. No. 42,607

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598



PTO/SB/17(10/96)

Approved for use through 09/30/98. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL****TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known:**Application No. 09/218,119Filing Date 12/21/98First Named Inventor Proehl, et al.Group Art Unit 2711Examiner Name Not yet assignedAttorney Docket No. 80398.P158**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number \_\_\_\_\_

Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed

☒ Check☐ Money Order☐ Other**FEE CALCULATION (fees effective 10/01/97)****1. FILING FEE****Large Entity      Small Entity**

Fee      Fee      Fee      Fee

Code      (\$) Code      (\$)      Fee Description      Fee Paid

101      760      201      380      Utility application filing fee      \_\_\_\_\_

106      310      206      155      Design application filing fee      \_\_\_\_\_

107      480      207      240      Plant filing fee      \_\_\_\_\_

108      760      208      380      Reissue filing fee      \_\_\_\_\_

114      150      214      75      Provisional application filing fee      \_\_\_\_\_

**SUBTOTAL (1)** \$ \_\_\_\_\_**2. CLAIMS****Extra****Fee from  
below****Fee Paid**

Total Claims \_\_\_\_\_ - 20 = \_\_\_\_\_ X \_\_\_\_\_ = \_\_\_\_\_

Independent Claims \_\_\_\_\_ - 3 = \_\_\_\_\_ X \_\_\_\_\_ = \_\_\_\_\_

Multiple Dependent Claims \_\_\_\_\_ X \_\_\_\_\_ = \_\_\_\_\_

**Large Entity      Small Entity**

Fee      Fee      Fee      Fee

Code      (\$) Code      (\$)      Fee Description      Fee Paid

103      18      203      9      Claims in excess of twenty      \_\_\_\_\_

102      78      202      39      Independent claims in excess of 3      \_\_\_\_\_

104      260      204      130      Multiple dependent claim      \_\_\_\_\_

109      78      209      39      Reissue independent claims over original patent      \_\_\_\_\_

110      18      210      9      Reissue claims in excess of 20 and over original patent      \_\_\_\_\_

**SUBTOTAL (2)** \$ \_\_\_\_\_**FEE CALCULATION (continued)**

12/01/97

- 1 -

PTO/SB/17 (10-96)

**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					
SUBTOTAL (3)\$					130.00

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Richard L. Gregory, Jr.

Signature *R.L. Gregory*

Date 3-19-99

Reg. Number 42,607

Deposit Account User ID 02-2666

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 3/19/99

Date of Deposit

Cheri Clinkenbeard

Name of Person Mailing Correspondence

*CClinkenbeard*

Signature

3/19/99

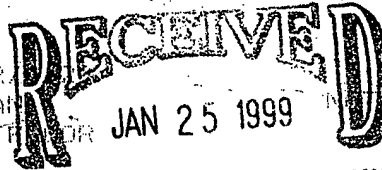
Date



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/218,119	12/21/98	PROEHL	80398-P158

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD  
LOS ANGELES CA 90025



BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

DATE MAILED:

01/20/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ \_\_\_\_\_.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

STATUS REPORT